

# STC's Licensing Class Schedule

## 2023 First Quarter Virtual Classes



### SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

**2-days | 9am - 5pm ET**

**January 9 & 10**

**February 6 & 7**

**March 6 & 7**

**2-days | 10am - 6pm ET**

**January 25 & 26**

**February 22 & 23**

**March 29 & 30**

**2-weeks | 1pm - 5pm ET**

**February 6, 8, 13 & 15**

**April 11, 13, 18 & 20**

**2-weeks | 5pm - 9pm ET**

**January 23, 25 & 30 February 1**

**March 13, 15, 20 & 22**

**4-weeks | 5pm - 7pm ET**

**January 10, 12, 17, 19, 24, 26 & 31 February 2**

**February 7, 9, 14, 16, 21, 23, & 28 March 2**

**March 7, 9, 14, 16, 21, 23, 28, & 30**

**4-weeks | 12pm - 2pm ET**

**January 3, 5, 10, 12, 17, 19, 24 & 26**

**January 31 February 2, 7, 9, 14, 16, 21, & 23**

**March 6, 8, 13, 15, 20, 22, 27 & 29**

### Series 7 Top-Off

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

**3-days | 9am - 5pm ET**

**January 3 - 5**

**February 6 - 8**

**March 6 - 8**

**3-days | 11am - 7pm ET**

**January 23 - 25**

**February 27 - March 1st**

**March 27 - 29**

**2-weeks | 1pm - 5pm ET**

**January 10, 11, 12, 17 18, 19**

**March 13, 14, 15, 20, 21, 22**

**4-weeks | 5:30pm - 8:30pm ET**

**January 23, 25 & 30 February 1, 6, 8, 13 & 15**

**March 7, 9, 14, 16, 21, 23, 28 & 30**

### Series 7 Options: Suitability & Product Selection

**January 7**

**February 11**

**March 11**

**10am -  
2pm ET**

**January 19**

**February 23**

**March 23**

**1pm -  
5pm ET**



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### Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Series 9   9:30am - 5pm ET		Series 24		Series 27
January 9 February 6 March 6		January 11 & 12 February 15 & 16 March 13 & 14	9am - 5pm ET	9:30am - 5pm ET
Series 10   9:30am - 5:30pm ET				February 9 April 6
January 18 & 19 February 22 & 23 March 15 & 16		February 27 & 28  January 24, 26, 30 Feb 2 March 27 & 29 April 3 & 5	10am - 6pm ET  1pm - 5pm ET	

### Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Series 3		Series 65	
January 4 & 5 February 1 & 2 March 1 & 2	Day 1 9:30am - 4:30pm ET Day 2 9:30am - 2pm ET	January 9, 11, 16 & 18 February 6, 8, 13 & 15 March 7, 9, 14 & 16	1pm - 4:30pm ET
Series 6		Series 66	
January 25 February 22 March 22	10am - 6pm ET	January 17 & 18 February 21 & 22 March 21 & 22	9am - 5pm ET
January 4 & 5 January 18 & 19 February 1 & 2 February 15 & 16 March 1 & 2 March 15 & 16	Two 4-Hour Classes 1pm - 5pm ET	January 9 - 12 February 6 - 9 March 6 - 9	1pm - 5pm ET
Series 57		Series 79	
February 7 & 8	1pm - 5pm ET	January 9 & 11 February 13 & 14 March 7 & 8 March 21, 23, 28, 30	9:30am - 5:30pm ET 1pm - 5pm ET
Series 63		Series 86	
January 4 February 9 March 9	9am - 1pm ET	January 17 & 19 February 20 & 21	4:30pm - 7:30pm ET
		Series 87	
January 23 February 27 March 27	1pm - 5pm ET	January 30	4:30pm - 8:30pm ET
		Series 99	
		January 18 March 20	9:30am - 5:30pm ET