

STC's Licensing Class Schedule

2023 Second Quarter Virtual Classes



SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

2-days | 9am - 5pm ET

April (M/T) 3 & 4

May (M/T) 8 & 9

June (M/T) 5 & 6

2-days | 10am - 6pm ET

April (W/TH) 26 & 27

May (W/TH) 24 & 25

June (W/TH) 28 & 29

2-weeks | 1pm - 5pm ET

June (M/W) 5, 7, 12, 14

2-weeks | 5pm - 9pm ET

May (T/H) 9, 11, 16, 18

4-weeks | 5pm - 7pm ET

April (M/W) 3, 5, 10, 12, 17, 19, 24, 26

May (T/TH) 2, 4, 9, 11, 16, 18, 23, 25

June (M/W) 5, 7, 12, 14, 19, 21, 26, 28

4-weeks | 12pm - 2pm ET

April (M/W) 3, 5, 10, 12, 17, 19, 24, 26

May (T/TH) 2, 4, 9, 11, 16, 18, 23, 25

June (M/W) 5, 7, 12, 14, 19, 21, 26, 28

Series 7 Top-Off

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

3-days | 9am - 5pm ET

April (M/T/W) 3, 4, 5

May (M/T/W) 8, 9, 10

June (M/T/W) 5, 6, 7

3-days | 11am - 7pm ET

April (M/T/W) 24, 25, 26

May (M/T/W) 22, 23, 24

June (M/T/W) 19, 20, 21

2-weeks | 1pm - 5pm ET

May (M-W) 15, 16, 17, 22, 23, 24

June (T-TH) 13, 14, 15, 20, 21, 22

4-weeks | 5:30pm - 8:30pm ET

April (M/W) 10, 12, 17, 19, 24, 26, **May** 1, 3

May (T/TH) 9, 11, 16, 18, 23, 25, 30, **June** 1

June (M/W) 5, 7, 12, 14, 19, 21, 26, 28

Series 7 Options: Suitability & Product Selection

April (Sat) 8

May (Sat) 13

June (Sat) 10

10am - 2pm ET

April (TH) 20

May (TH) 25

June (TH) 22

1pm - 5pm ET



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Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Series 9 9:30am - 5pm ET		Series 24			Series 27	
April ^(M)	3	April ^(W/TH)	12 & 13	9am - 5pm ET	9:30am - 5pm ET	
May ^(M)	8	May ^(W/TH)	17 & 18		April ^(TH) 6 May ^(T) 16	
June ^(M)	5	June ^(W/TH)	14 & 15			
Series 10 9:30am - 5:30pm ET		April ^(M/T)	24 & 25	10am - 6pm ET		
April ^(W/TH)	12 & 13	May ^(T/W)	30 & 31			
May ^(W/TH)	17 & 18	June ^(M/W)	19, 21, 26 & 28	1pm - 5pm ET		
June ^(W/TH)	21 & 22					

Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Series 3			Series 65		
April ^(M/T) 3 & 4	Day 1 9:30am - 4:30pm ET Day 2 9:30am - 2pm ET		April ^(T/TH) 11,13, 18, & 20	1pm - 4:30pm ET	
May ^(W/TH) 3 & 4			May ^(M/W) 15, 17, 22, 24		
June ^(W/TH) 7 & 8			June ^(T/TH) 13, 15, 20, 22		
Series 6			Series 66		
April ^(W) 26	10am - 6pm ET		April ^(T/W) 18 & 19	9am - 5pm ET	
May ^(W) 24			May ^(T/W) 23 & 24		
June ^(W) 28			June ^(M/T) 19 & 20		
April ^(W/TH) 5 & 6	Two 4-Hour Classes 1pm - 5pm ET		April ^(M-TH) 3, 4, 5, 6	1pm - 5pm ET	
April ^(W/TH) 19 & 20			May ^(M-TH) 1, 2, 3, 4		
May ^(W/TH) 3 & 4			June ^(M-TH) 5, 6, 7, 8		
May ^(W/TH) 17 & 18			Series 79		
June ^(W/TH) 7 & 8			April ^(M/T) 10 & 11	9:30am - 5:30pm ET	
June ^(W/TH) 21 & 22		May ^(T/TH) 2 & 4			
Series 57			June ^(W/TH) 7 & 8		
April ^(M/T) 10 & 11	1pm - 5pm ET		June ^(T/TH) 20, 22, 27, 29	1pm - 5pm ET	
June ^(W/TH) 7 & 8			Series 86		
Series 63			April ^(M/T) 17 & 18	4:30pm - 7:30pm ET	
April ^(TH) 6	9am - 1pm ET		June ^(M/T) 26 & 27		
May ^(TH) 11			Series 87		
June ^(TH) 8			April ^(TH) 27	4:30pm - 8:30pm ET	
April ^(TH) 27	1pm - 5pm ET	May ^(T) 30			
May ^(TH) 25			Series 99		
June ^(T) 20			May ^(M) 15	9:30am - 5:30pm ET	