

STC's Licensing Class Schedule

2023 Third Quarter Virtual Classes



SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

2-days | 9am - 5pm ET

July (M/T)	10 & 11
August (M/T)	7 & 8
September (M/T)	11 & 12

2-days | 10am - 6pm ET

July (W/TH)	26 & 27
August (W/TH)	23 & 24
September (W/TH)	27 & 28

2-weeks | 1pm - 5pm ET

August (M/W)	14, 16, 21, 23
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2-weeks | 5pm - 9pm ET

July (T/H)	11, 13, 18, 20
September (T/TH)	5, 7, 12, 14

4-weeks | 5pm - 7pm ET

July (T/TH)	11, 13, 18, 20, 25, 27, Aug 1, 3
August (M/W)	7, 9, 14, 16, 21, 23, 28, 30
September (T/TH)	5, 7, 12, 14, 19, 21, 26, 28

4-weeks | 12pm - 2pm ET

July (T/TH)	11, 13, 18, 20, 25, 27, Aug 1, 3
August (M/W)	7, 9, 14, 16, 21, 23, 28, 30
September (T/TH)	5, 7, 12, 14, 19, 21, 26, 28

Series 7 Top-Off

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

3-days | 9am - 5pm ET

July (W/TH/F)	5,6,7
August (T/W/TH)	1,2,3
September (T/W/TH)	5,6,7

3-days | 11am - 7pm ET

July (M-W)	24,25,26
August (M-W)	21, 22, 23
September (M-W)	18, 19, 20

2-weeks | 1pm - 5pm ET

July (M-W)	10, 11, 12, 17, 18, 19
September (M-W)	11, 12, 13, 18, 19, 20

4-weeks | 5:30pm - 8:30pm ET

July (M/W)	10, 12, 17, 19, 24, 26, 31, Aug 2
August (T/TH)	8, 10, 15, 17, 22, 24, 29, 31
September (M/W)	11, 13, 18, 20, 25, 27, Oct 2, 4

Series 7 Options: Suitability & Product Selection

July (Sat)	8	10am - 2pm ET
August (Sat)	12	
September (Sat)	9	
July (TH)	20	1pm - 5pm ET
August (TH)	24	
September (TH)	21	



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Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Series 9 9:00am-4:30pm ET		Series 24			Series 27		
July ^(M)	10	July ^(W/TH)	12 & 13	9am - 5pm ET	9:30am - 5pm ET		
August ^(M)	7	August ^(W/TH)	9 & 10		July ^(TH)	6	
September ^(M)	11	September ^(W/TH)	13 & 14			August ^(TH)	3
Series 10 9:00am-5:00pm ET		July ^(W/TH)	26 & 27	10am - 6pm ET	September ^(TH)	14	
July ^(M/T)	24 & 25	August ^(M/T)	28 & 29		1pm - 5pm ET		
August ^(M/T)	14 & 15	September ^(T/TH)					
September ^(M/T)	18 & 19	Oct 3, 5					

Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Series 3		Series 65	
July (W/TH)	12 & 13	July (T/TH)	11, 13, 18, 20
August (W/TH)	9 & 10	August (T/TH)	8, 10, 15, 17
September (W/TH)	6 & 7	September (T/TH)	12, 14, 19, 21
		1pm - 4:30pm ET	
Series 6		Series 66	
July (W)	26	July (T/W)	18 & 19
August (W)	23	August (T/W)	15 & 16
September (W)	20	September (T/W)	19 & 20
		9am - 5pm ET	
July (W/TH)	5 & 6	July (M-TH)	10, 11, 12, 13
July (W/TH)	19 & 20	July/August (M-TH)	31, 1, 2, 3
August (W/TH)	2 & 3	September (M-TH)	11, 12, 13, 14
August (W/TH)	16 & 17		
September (W/TH)	6 & 7	1pm - 5pm ET	
September (W/TH)	20 & 21		
Series 57		Series 79	
August (M/TH)	9 & 10	July (M/T)	12 & 13
		August (T/TH)	23 & 24
		September (W/TH)	11 & 12
		9:30am - 5:30pm ET	
		September (T/TH)	26, 28, Oct 3, 5
		1pm - 5pm ET	
Series 63		Series 86	
July (TH)	13	August (M/W)	14 & 16
August (W)	9		
September (W)	13	4:30pm - 7:30pm ET	
July (TH)	27		
August (W)	28		
September (W)	28		
		1pm - 5pm ET	
Series 87		Series 99	
August (W)	30	July (M)	24
		September (M)	25
		9:30am - 5:30pm ET	