

# STC's Licensing Class Schedule

## 2023 Fourth Quarter Virtual Classes



### SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

#### 2-days | 9am - 5pm ET

<b>October</b> (T/W)	10 & 11
<b>November</b> (M/T)	6 & 7
<b>December</b> (M/T)	4 & 5

#### 2-days | 10am - 6pm ET

<b>October</b> (W/TH)	25 & 26
<b>November</b> (W/TH)	29 & 30
<b>December</b> (W/TH)	27 & 28

#### 2-weeks | 1pm - 5pm ET

<b>October</b> (M/W)	16, 18, 23, 25
<b>December</b> (M/W)	4, 6, 11, 13

#### 2-weeks | 5pm - 9pm ET

<b>November</b> (T/H)	7, 9, 14, 16
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#### 4-weeks | 5pm - 7pm ET

<b>October</b> (M/W)	23, 25, 30, <b>Nov</b> 1, 6, 8, 13, 15
<b>November</b> (M/W)	27, 29, <b>Dec</b> 4, 6, 11, 13, 18, 20

#### 4-weeks | 12pm - 2pm ET

<b>October</b> (T/TH)	3, 5, 10, 12, 17, 19, 24, 26
<b>November</b> (M/W)	6, 8, 13, 15, 20, 22, 27, 29
<b>November</b> (T/TH)	28, 30, <b>Dec</b> 5, 7, 12, 14, 19, 21

### Series 7 Top-Off

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

#### 3-days | 9am - 5pm ET

<b>October</b> (M/T/W)	2, 3, 4
<b>Oct/Nov</b> (T/W/TH)	31, 1, 2
<b>December</b> (M/T/W)	4, 5, 6

#### 3-days | 11am - 7pm ET

<b>October</b> (M-W)	23, 24, 25
<b>November</b> (M-W)	13, 14, 15
<b>December</b> (M-W)	18, 19, 20

#### 2-weeks | 1pm - 5pm ET

<b>November</b> (M-W)	20, 21, 22, 27, 28, 29
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#### 4-weeks | 5:30pm - 8:30pm ET

<b>October</b> (T/TH)	17, 19, 24, 26, 31, <b>Nov</b> 2, 6, 8
<b>November</b> (M/W)	27, 29, <b>Dec</b> 4, 6, 11, 13, 18, 20

### Series 7 Options: Suitability & Product Selection

<b>October</b> (Sat)	7	<b>10am - 2pm ET</b>
<b>November</b> (Sat)	4	
<b>December</b> (Sat)	2	
<b>October</b> (TH)	19	<b>1pm - 5pm ET</b>
<b>November</b> (TH)	16	
<b>December</b> (TH)	14	



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### Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Series 9   9:00am-4:30pm ET		Series 24		Series 27	
October <sup>(M)</sup>	2	October <sup>(T/W)</sup>	17 & 18	9am - 5pm ET	9:30am - 5pm ET
November <sup>(M)</sup>	6	November <sup>(W/TH)</sup>	8 & 9		
December <sup>(M)</sup>	4	December <sup>(W/TH)</sup>	13 & 14		
Series 10   9:00am-5:00pm ET					
October <sup>(W/TH)</sup>	11 & 12	October <sup>(M/T)</sup>	30 & 31	10am - 6pm ET	October <sup>(TH)</sup> 4
November <sup>(M/T)</sup>	20 & 21				November <sup>(W)</sup> 1
December <sup>(M/T)</sup>	11 & 12	November <sup>(M/T)</sup>	13, 14, 20, 21	1pm - 5pm ET	December <sup>(T)</sup> 5

### Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Series 3			Series 65		
October <sup>(W\TH)</sup>	4 & 5	Day 1 9:30am - 4:30pm ET Day 2 9:30am - 2pm ET	October <sup>(M\W)</sup>	16, 18, 23, 25	1pm - 4:30pm ET
November <sup>(W\TH)</sup>	1 & 2		November <sup>(T\TH)</sup>	28, 30, Dec 5, 7	
December <sup>(W\TH)</sup>	6 & 7				
Series 6			Series 66		
October <sup>(W)</sup>	18	10am - 6pm ET	October <sup>(T\W)</sup>	17 & 18	9am - 5pm ET
November <sup>(W)</sup>	15		November <sup>(T\W)</sup>	14 & 15	
December <sup>(W)</sup>	13		December <sup>(M\T)</sup>	18 & 19	
October <sup>(W\TH)</sup>	4 & 5	Two 4-Hour Classes 1pm - 5pm ET	October <sup>(M-TH)</sup>	2, 3, 4, 5	1pm - 5pm ET
October <sup>(W\TH)</sup>	18 & 19		November <sup>(M-TH)</sup>	6, 7, 8, 9	
November <sup>(W\TH)</sup>	1 & 2		December <sup>(M-TH)</sup>	4, 5, 6, 7	
November <sup>(W\TH)</sup>	15 & 16				
December <sup>(W\TH)</sup>	6 & 7				
December <sup>(W\TH)</sup>	20 & 21				
Series 57			Series 79		
October <sup>(W\TH)</sup>	11 & 12	1pm - 5pm ET	October <sup>(W\TH)</sup>	11 & 12	9:30am - 5:30pm ET
December <sup>(T\TH)</sup>	5 & 7		November <sup>(M\T)</sup>	27 & 28	
			December <sup>(M\W)</sup>	4, 6, 11, 13	1pm - 5pm ET
Series 63			Series 86		
October <sup>(T)</sup>	10	9am - 1pm ET	October <sup>(M\T)</sup>	16 & 17	4:30pm - 7:30pm ET
November <sup>(TH)</sup>	9		December <sup>(T\TH)</sup>	12 & 14	
December <sup>(TH)</sup>	7				
October <sup>(TH)</sup>	26	1pm - 5pm ET	Series 87		
November <sup>(T)</sup>	21		October <sup>(M)</sup>	30	4:30pm - 8:30pm ET
December <sup>(W)</sup>	20		December <sup>(M)</sup>	18	
			Series 99		
			November <sup>(TH)</sup>	16	9:30am - 5:30pm ET