

STC's Licensing Class Schedule

2024 First Quarter Virtual Classes



SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

2-days | 9am - 5pm ET

| | |
|-----------------------|-------|
| January (M/T) | 8 & 9 |
| February (M/T) | 5 & 6 |
| March (M/T) | 4 & 5 |

2-days | 10am - 6pm ET

| | |
|-----------------------|---------|
| January (M/T) | 29 & 30 |
| February (M/T) | 26 & 27 |
| March (M/T) | 25 & 26 |

2-weeks | 1pm - 5pm ET

| | |
|-----------------------|--------------|
| February (M/W) | 5, 7, 12, 14 |
|-----------------------|--------------|

2-weeks | 5pm - 9pm ET

| | |
|-----------------------|--------------|
| January (W/TH) | 3, 4, 10, 11 |
| March (W/TH) | 6, 7, 13, 14 |

4-weeks | 5pm - 7pm ET

| | |
|------------------------|-----------------------------------------|
| January (T/TH) | 16, 18, 23, 25, 30, Feb 1, 6, 8 |
| February (T/TH) | 13, 15, 20, 22, 27, 29, Mar 5, 7 |
| March (M/W) | 11, 13, 18, 20, 25, 27, Apr 1, 3 |

4-weeks | 12pm - 2pm ET

| | |
|------------------------|-----------------------------------------|
| January (T/TH) | 9, 11, 16, 18, 23, 25, 30, Feb 1 |
| February (T/TH) | 6, 8, 13, 15, 20, 22, 27, 29 |
| March (M/W) | 4, 6, 11, 13, 18, 20, 25, 27 |

Series 7 Top-Off

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

3-days | 9am - 5pm ET

| | |
|-----------------------|----------|
| January (M-W) | 8, 9, 10 |
| February (M-W) | 5, 6, 7 |
| March (M-W) | 4, 5, 6 |

3-days | 11am - 7pm ET

| | |
|-----------------------|------------|
| January (M-W) | 22, 23, 24 |
| February (M-W) | 26, 27, 28 |
| March (M-W) | 25, 26, 27 |

2-weeks | 1pm - 5pm ET

| | |
|-----------------------|------------------------|
| January (T-TH) | 16, 17, 18, 23, 24, 25 |
| March (M-W) | 11, 12, 13, 18, 19, 20 |

4-weeks | 5:30pm - 8:30pm ET

| | |
|----------------------|-----------------------------------------|
| January (M/W) | 22, 24, 29, 31, Feb 5, 7, 12, 14 |
| March (T/TH) | 5, 7, 12, 14, 19, 21, 26, 28 |

Series 7 Options: Suitability & Product Selection

| | | |
|-----------------------|----|----------------------|
| January (Sat) | 6 | 10am - 2pm ET |
| February (Sat) | 10 | |
| March (Sat) | 9 | |
| January (TH) | 18 | 1pm - 5pm ET |
| February (TH) | 22 | |
| March (TH) | 21 | |



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Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

| Series 9 9:30am-5:00pm ET | | Series 24 | | Series 27 | | |
|------------------------------|---------|----------------|------------------|---------------|-----------------|---|
| January (TH) | 11 | January (M/W) | 29 & 31 | 9am - 5pm ET | 9:30am - 5pm ET | |
| February (TH) | 8 | February (M/W) | 5 & 7 | | February (TH) | 8 |
| March (TH) | 7 | March (W/TH) | 13 & 14 | | | |
| Series 10 9:00am-5:00pm ET | | | | 10am - 6pm ET | | |
| January (T/W) | 16 & 17 | February (M/T) | 26 & 27 | | | |
| February (M/T) | 12 & 13 | January (T/TH) | 29, 31, Feb 5, 7 | 1pm - 5pm ET | | |
| March (T/W) | 12 & 13 | March (M/W) | 18, 20, 25, 27 | | | |

Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

| Series 3 | | Series 65 | |
|-----------------|---------|--------------------------|-----------------------|
| January (W/TH) | 3 & 4 | January (T/TH) | 9, 11, 16, 18 |
| February (W/TH) | 7 & 8 | February (T/TH) | 13, 15, 20, 22 |
| March (W/TH) | 6 & 7 | March (M/W) | 11, 13, 18, 20 |
| | | Day 1 9:30am - 4:30pm ET | Day 2 9:30am - 2pm ET |
| | | 1pm - 4:30pm ET | |
| Series 6 | | Series 66 | |
| January (W) | 24 | January (M/T) | 8 & 9 |
| February (W) | 21 | February (T/W) | 13 & 14 |
| March (T) | 19 | March (T/W) | 12 & 13 |
| | | 9am - 5pm ET | |
| January (W/TH) | 3 & 4 | February (T-F) | 20, 21, 22, 23 |
| January (W/TH) | 17 & 18 | March (M-TH) | 18, 19, 20, 21 |
| February (W/TH) | 7 & 8 | | |
| February (TH/F) | 22 & 23 | 1pm - 5pm ET | |
| March (W/TH) | 6 & 7 | | |
| March (TH/F) | 21 & 22 | | |
| Series 57 | | Series 79 | |
| February (T/W) | 6 & 7 | January (W/TH) | 10 & 11 |
| | | February (M/T) | 12 & 13 |
| | | March (M/T) | 18 & 19 |
| | | 9:30am - 5:30pm ET | |
| Series 63 | | Series 86 | |
| January (F) | 5 | January (T/TH) | 16 & 18 |
| February (F) | 2 | February (T/TH) | 13 & 15 |
| March (F) | 1 | | |
| | | 4:30pm - 7:30pm ET | |
| | | Series 87 | |
| | | January (T) | 30 |
| | | 4:30pm - 8:30pm ET | |
| Series 99 | | | |
| January (F) | 19 | January (T) | 16 |
| February (F) | 16 | March (TH) | 14 |
| March (F) | 15 | 9:30am - 5:30pm ET | |