



Licensing Class Schedule

2024 Second Quarter



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SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

Course	Dates	# of Sessions	Day(s) of Week	Time (EST)
SIE	April 1 & 2	2-Days	M/T	9:00 a.m.-5:00 p.m.
SIE	May 6 & 7	2-Days	M/T	9:00 a.m.-5:00 p.m.
SIE	June 3 & 4	2-Days	M/T	9:00 a.m.-5:00 p.m.
SIE	April 16 & 17	2-Days	T/W	10:00 a.m.-6:00 p.m.
SIE	May 20 & 21	2-Days	M/T	10:00 a.m.-6:00 p.m.
SIE	June 24 & 25	2-Days	M/T	10:00 a.m.-6:00 p.m.
SIE	April 2, 4, 9, 11, 16, 18, 23, 25	4-Week	T/TH	12:00 p.m.-2:00 p.m.
SIE	April 30, May 2, 7, 9, 14, 16, 21, 23	4-Week	T/TH	12:00 p.m.-2:00 p.m.
SIE	June 4, 6, 11, 13, 18, 20, 25, 27	4-Week	T/TH	12:00 p.m.-2:00 p.m.
SIE	April 8, 10, 15, 17, 22, 24, 29, May 1	4-Week	M/W	5:00 p.m.-7:00 p.m.
SIE	May 14, 16, 21, 23, 28, 30, June 4, 6	4-Week	T/TH	5:00 p.m.-7:00 p.m.
SIE	June 3, 5, 10, 12, 17, 18, 24, 26	4-Week	M/W	5:00 p.m.-7:00 p.m.
SIE	April 16, 18, 23, 25	2-Week	T/TH	1:00 p.m.-5:00 p.m.
SIE	June 17, 20, 24, 27	2-Week	M/TH	1:00 p.m.-5:00 p.m.
SIE	May 8, 9, 15, 16	2-Week	W/TH	5:00 p.m.-9:00 p.m.

Series 7

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

Course	Dates	# of Sessions	Day(s) of Week	Time (EST)
Series 7	April 8, 9, 10	3-Days	M-W	9:00 a.m.-5:00 p.m.
Series 7	May 6, 7, 8	3-Days	M-W	9:00 a.m.-5:00 p.m.
Series 7	June 3, 4, 5	3-Days	M-W	9:00 a.m.-5:00 p.m.
Series 7	April 22, 23, 24	3-Days	M-W	11:00 a.m.-7:00 p.m.
Series 7	May 20, 21, 22	3-Days	M-W	11:00 a.m.-7:00 p.m.
Series 7	June 24, 25, 26	3-Days	M-W	11:00 a.m.-7:00 p.m.
Series 7	May. 14, 15, 16, 21, 22, 23	2-Week	T-TH	1:00 p.m.-5:00 p.m.
Series 7	June. 10, 11, 12, 17, 18, 20*	2-Week	M-W	1:00 p.m.-5:00 p.m.
Series 7	April 8, 10, 15, 17, 22, 24, 29, May 1	4-Week	M/W	5:30 p.m.-8:30 p.m.
Series 7	May 7, 9, 14, 16, 21, 23, 28, 30	4-Week	T/TH	5:30 p.m.-8:30 p.m.
Series 7	June 3, 5, 10, 12, 17, *18, 24, 26	4-Week	M/W	5:30 p.m.-8:30 p.m.

Series 7 Options, Suitability & Product Selection

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

Course	Dates	# of Sessions	Day(s) of Week	Time (EST)
Series 7 OSP	April 6	1-Day	S	10:00 a.m.-2:00 p.m.
Series 7 OSP	May 4	1-Day	S	10:00 a.m.-2:00 p.m.
Series 7 OSP	June 1	1-Day	S	10:00 a.m.-2:00 p.m.
Series 7 OSP	April 18	1-Day	TH	1:00 p.m.-5:00 p.m.
Series 7 OSP	May 16	1-Day	TH	1:00 p.m.-5:00 p.m.
Series 7 OSP	June 20	1-Day	TH	1:00 p.m.-5:00 p.m.

Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Course	Dates	# of Sessions	Day(s) of Week	Time (EST)
Series 9	April 4	1-Day	TH	9:00 a.m.-4:30 p.m.
Series 9	May 2	1-Day	TH	9:00 a.m.-4:30 p.m.
Series 9	June 6	1-Day	TH	9:00 a.m.-4:30 p.m.
Series 10	April 8 & 9	2-Days	M/T	9:00 a.m.-5:00 p.m.
Series 10	May 14 & 15	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 10	June 10 & 11	2-Days	M/T	9:00 a.m.-5:00 p.m.
Series 24	April 9 & 10	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 24	May 13 & 14	2-Days	M/T	9:00 a.m.-5:00 p.m.
Series 24	June 11 & 12	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 24	April 29 & 30	2-Days	M/T	10:00 a.m.-6:00 p.m.
Series 24	May 29 & 30	2-Days	W/TH	10:00 a.m.-6:00 p.m.
Series 24	June 11, 13, 18, 20	4-Days	T/TH	1:00 p.m.-5:00 p.m.
Series 27	April 11	1-Day	TH	9:30 a.m.-5:00 p.m.
Series 27	May 23	1-Day	TH	9:30 a.m.-5:00 p.m.
Series 27	July 11	1-Day	TH	9:30 a.m.-5:00 p.m.

Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course	Dates	# of Sessions	Day(s) of Week	Time (EST)
Series 3	April 3 & 4	1.5-Days	W/TH	D1: 9:30am-4:30pm; D2: 9:30am-2pm
Series 3	May 1 & 2	1.5-Days	W/TH	D1: 9:30am-4:30pm; D2: 9:30am-2pm
Series 3	June 5 & 6	1.5-Days	W/TH	D1: 9:30am-4:30pm; D2: 9:30am-2pm
Series 6	April 17	1-Day	W	10:00 a.m.-6:00 p.m.
Series 6	May 22	1-Day	W	10:00 a.m.-6:00 p.m.
Series 6	June 18	1-Day	T	10:00 a.m.-6:00 p.m.
Series 6	April 2 & 3	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 6	April 17 & 18	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 6	May 6 & 7	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 6	May 15 & 16	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 6	June 5 & 6	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 6	June 20 & 21	2-Days	TH/F	1:00 p.m.-5:00 p.m.
Series 57	April 2 & 3	2-Days	T/W	1:00 p.m.-5:00 p.m.
Series 57	June 4 & 5	2-Days	T/W	1:00 p.m.-5:00 p.m.
Series 63	April 5	1-Day	F	9:00 a.m.-1:00 p.m.
Series 63	May 23	1-Day	TH	9:00 a.m.-1:00 p.m.
Series 63	June 7	1-Day	F	9:00 a.m.-1:00 p.m.

Course	Dates	# of Sessions	Day(s) of Week	Time (EST)
Series 63	April 26	1-Day	F	1:00 p.m.-5:00 p.m.
Series 63	May 3	1-Day	F	1:00 p.m.-5:00 p.m.
Series 63	June 21	1-Day	F	1:00 p.m.-5:00 p.m.
Series 65	April 9, 11, 16, 18	2-Week	T/TH	1:00 p.m.-4:30 p.m.
Series 65	May 6, 8, 13, 15	2-Week	M/W	1:00 p.m.-4:30 p.m.
Series 65	June 3, 5, 10, 12	2-Week	M/W	1:00 p.m.-4:30 p.m.
Series 66	April 9 & 10	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 66	May 15 & 16	2-Days	W/TH	9:00 a.m.-5:00 p.m.
Series 66	June 17 & 18	2-Days	M/T	9:00 a.m.-5:00 p.m.
Series 66	April 22, 23, 24, 25	4-Days	M-TH	1:00 p.m.-5:00 p.m.
Series 66	May 6, 7, 8, 9	4-Days	M-TH	1:00 p.m.-5:00 p.m.
Series 66	June 3, 4, 5, 6	4-Days	M-TH	1:00 p.m.-5:00 p.m.
Series 79	April 22 & 23	2-Days	M/T	9:30 a.m.-5:30 p.m.
Series 79	May 20 & 21	2-Days	M/T	9:30 a.m.-5:30 p.m.
Series 79	June 24 & 25	2-Days	M/T	9:30 a.m.-5:30 p.m.
Series 86	April 16 & 18	2-Days	T/TH	4:30 p.m.-7:30 p.m.
Series 86	June 24 & 26	2-Days	M/W	4:30 p.m.-7:30 p.m.
Series 87	April 25	1-Day	TH	4:30 p.m.-8:30 p.m.
Series 99	May 16	1-Day	TH	9:30 a.m.-5:30 p.m.