



# Licensing Class Schedule

2H 2025

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## SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
SIE	July 8 & 9	2-Days	T/W	9:00am-5:00pm
SIE	Aug. 5 & 6	2-Days	T/W	9:00am-5:00pm
SIE	Sept. 8 & 9	2-Days	M/T	9:00am-5:00pm
SIE	Oct. 7 & 8	2-Days	T/W	9:00am-5:00pm
SIE	Nov. 5 & 6	2-Days	W/TH	9:00am-5:00pm
SIE	Dec. 2 & 3	2-Days	T/W	9:00am-5:00pm
SIE	July 28 & 29	2-Days	M/T	10:00am-6:00pm
SIE	Aug. 26 & 27	2-Days	T/W	10:00am-6:00pm
SIE	Sept. 29 & 30	2-Days	M/T	10:00am-6:00pm
SIE	Oct. 27 & 28	2-Days	M/T	10:00am-6:00pm
SIE	Nov. 24 & 25	2-Days	M/T	10:00am-6:00pm
SIE	Dec. 17 & 18	2-Days	W/TH	10:00am-6:00pm
SIE	July 8, 10, 15, 17, 22, 24, 29, 31	4 - Week	T/TH	12:00pm-2:00pm
SIE	Aug. 5, 7, 12, 14, 19, 21, 26, 28	4 - Week	T/TH	12:00pm-2:00pm
SIE	Sept. 9, 11, 16, 18, 23, 25, 30, Oct. 2	4 - Week	T/TH	12:00pm-2:00pm
SIE	Oct. 7, 9, 14, 16, 21, 23, 28, 30	4 - Week	T/TH	12:00pm-2:00pm
SIE	Nov. 3, 5, 10, 12, 17, 19, 24, 26	4 - Week	M/W	12:00pm-2:00pm
SIE	Dec. 1, 2, 8, 9, 15, 16, 22, 23	4 - Week	T/TH	12:00pm-2:00pm

## SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
SIE	July 7, 9, 14, 16, 21, 23, 28, 30	4 - Week	M/W	5:00pm-7:00pm
SIE	Aug. 12, 14, 19, 21, 26, 28, Sept. 2, 4	4 - Week	T/TH	5:00pm-7:00pm
SIE	Sept. 8, 10, 15, 17, 22, 24, 29, Oct. 1	4 - Week	M/W	5:00pm-7:00pm
SIE	Oct. 6, 8, 13, 15, 20, 22, 27, 29	4 - Week	M/W	5:00pm-7:00pm
SIE	Oct. 27, 29, Nov. 3, 5, 10, 12, 17, 19	4 - Week	T/TH	5:00pm-7:00pm
SIE	Nov. 24, 25, Dec. 1, 2, 8, 9, 15, 16	4 - Week	M/W	5:00pm-7:00pm
SIE	Aug. 12, 14, 19, 21	2 - Week	T/TH	1:00pm-5:00pm
SIE	Oct. 14, 16, 21, 23	2 - Week	T/TH	1:00pm-5:00pm
SIE	Dec. 2, 4, 9, 11	2 - Week	T/TH	1:00pm-5:00pm
SIE	July 15, 17, 22, 24	2 - Week	T/TH	5:00pm-9:00pm
SIE	Sept. 23, 25, 30, Oct. 2	2 - Week	T/TH	5:00pm-9:00pm
SIE	Nov. 10, 12, 17, 19	2 - Week	M/W	5:00pm-9:00pm

## Series 7

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 7	July 8, 9, 10	3 - Days	T-TH	9:00am-5:00pm
Series 7	Aug. 4, 5, 6	3 - Days	M-W	9:00am-5:00pm
Series 7	Sept. 8, 9, 10	3 - Days	M-W	9:00am-5:00pm
Series 7	Oct. 6, 7, 8	3 - Days	M-W	9:00am-5:00pm
Series 7	Nov. 3, 4, 5	3 - Days	M-W	9:00am-5:00pm
Series 7	Dec. 9, 10, 11	3 - Days	T-TH	9:00am-5:00pm
Series 7	July 21, 22, 23	3 - Days	M-W	11:00am-7:00pm
Series 7	Aug. 18, 19, 20	3 - Days	M-W	11:00am-7:00pm
Series 7	Sept. 29, 30, Oct. 1	3 - Days	M-W	11:00am-7:00pm
Series 7	Oct. 20, 21, 22	3 - Days	M-W	11:00am-7:00pm
Series 7	Nov. 17, 18, 19	3 - Days	M-W	11:00am-7:00pm
Series 7	Dec. 16, 17, 18	3 - Days	T-TH	11:00am-7:00pm
Series 7	July 14, 15, 16, 21, 22, 23	2 - Week	M-W	1:00pm-5:00pm
Series 7	Sept. 15, 16, 17, 22, 23, 24	2 - Week	M-W	1:00pm-5:00pm
Series 7	Nov. 10, 11, 12, 17, 18, 19	2 - Week	M-W	1:00pm-5:00pm
Series 7	July 8, 10, 15, 17, 22, 24, 29, 31	4 - Week	T/TH	5:30pm-8:30pm
Series 7	Aug. 4, 6, 11, 13, 18, 20, 25, 27	4 - Week	M/W	5:30pm-8:30pm
Series 7	Sept. 9, 11, 16, 18, 23, 25, 30, Oct. 2	4 - Week	T/TH	5:30pm-8:30pm
Series 7	Oct. 7, 9, 14, 16, 21, 23, 28, 30	4 - Week	T/TH	5:30pm-8:30pm
Series 7	Nov. 24, *25, Dec. 1, 3, 8, 10, 15, 17	4 - Week	M/W	5:30pm-8:30pm

### Series 7 Options, Suitability & Product Selection

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 7 OSP	July. 12	1 - Day	S	10:00am-2:00pm
Series 7 OSP	Aug. 9	1 - Day	S	10:00am-2:00pm
Series 7 OSP	September. 6	1 - Day	S	10:00am-2:00pm
Series 7 OSP	October. 11	1 - Day	S	10:00am-2:00pm
Series 7 OSP	November. 8	1 - Day	S	10:00am-2:00pm
Series 7 OSP	December. 6	1 - Day	S	10:00am-2:00pm
Series 7 OSP	July. 24	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	August. 21	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	Sept. 18	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	Oct. 23	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	Nov. 20	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	Dec. 18	1 - Day	TH	1:00pm-5:00pm

### Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 9	July. 31	1 - Day	TH	9:00am-4:30pm
Series 9	Aug. 13	1 - Day	W	9:00am-4:30pm
Series 9	Sept. 22	1 - Day	M	9:00am-4:30pm
Series 9	Oct. 20	1 - Day	M	9:00am-4:30pm
Series 9	Nov. 12	1 - Day	W	9:00am-4:30pm
Series 9	Dec. 11	1 - Day	TH	9:00am-4:30pm
Series 10	July 21 & 22	2 - Days	M/T	9:00am-5:00pm
Series 10	September 9 & 10	2 - Days	T/W	9:00am-5:00pm
Series 10	November 18 & 19	2 - Days	W/TH	9:00am-5:00pm
Series 10	Aug. 11, 12, 18, 19	2 - Days	M/T	1:00pm-5:00pm
Series 10	Oct. 13, 15, 20, 22	2 - Days	M/W	1:00pm-5:00pm
Series 10	Dec. 8, 9, 15, 16	2 - Days	M/T	1:00pm-5:00pm
Series 24	July 15 & 16	2 - Days	T/W	9:00am-5:00pm
Series 24	Aug. 12 & 13	2 - Days	T/W	9:00am-5:00pm
Series 24	October 14 & 15	2 - Days	T/W	9:00am-5:00pm
Series 24	December 9 & 10	2 - Days	T/W	9:00am-5:00pm

### Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 24	Sept. 15, 17, 22, 24	4 - Days	M/W	1:00pm-5:00pm
Series 24	Nov. 10, 12, 17, 19	4 - Days	M/W	1:00pm-5:00pm
Series 27	July. 17	1 - Day	TH	9:30am-5:00pm
Series 27	Aug. 13	1 - Day	W	9:30am-5:00pm
Series 27	Sept. 17	1 - Day	W	9:30am-5:00pm
Series 27	Oct. 16	1 - Day	TH	9:30am-5:00pm
Series 27	Nov. 13	1 - Day	TH	9:30am-5:00pm
Series 27	Dec. 17	1 - Day	W	9:30am-5:00pm



## Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 3	July 9 & 10	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	August 6 & 7	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	September 8 & 9	1.5 days	M/T	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	October 6 & 7	1.5 days	M/T	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	November 5 & 6	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	December 9 & 10	1.5 days	T/W	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 6	July. 16	1 - Day	T	10:00am-6:00pm
Series 6	August. 13	1 - Day	W	10:00am-6:00pm
Series 6	September. 17	1 - Day	W	10:00am-6:00pm
Series 6	October. 29	1 - Day	W	10:00am-6:00pm
Series 6	November. 13	1 - Day	TH	10:00am-6:00pm
Series 6	December. 10	1 - Day	T	10:00am-6:00pm
Series 6	July 9 & 10	2 - Days	W/TH	1:00pm-5:00pm
Series 6	July 29 & 30	2 - Days	T/W	1:00pm-5:00pm
Series 6	August 5 & 6	2 - Days	T/W	1:00pm-5:00pm
Series 6	August 26 & 27	2 - Days	T/W	1:00pm-5:00pm
Series 6	September 3 & 4	2 - Days	W/TH	1:00pm-5:00pm
Series 6	September 29 & 30	2 - Days	M/T	1:00pm-5:00pm
Series 6	October 8 & 9	2 - Days	W/TH	1:00pm-5:00pm
Series 6	October 21 & 22	2 - Days	T/W	1:00pm-5:00pm
Series 6	November 5 & 6	2 - Days	W/TH	1:00pm-5:00pm
Series 6	November 17 & 18	2 - Days	M/T	1:00pm-5:00pm
Series 6	December 2 & 3	2 - Days	T/W	1:00pm-5:00pm
Series 6	December 16 & 17	2 - Days	T/W	1:00pm-5:00pm

## Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 57	Aug. 11 & 13	2 - Days	M/W	1:00pm-5:00pm
Series 57	Oct. 14 & 15	2 - Days	T/W	1:00pm-5:00pm
Series 57	Dec. 2 & 3	2 - Days	T/W	1:00pm-5:00pm
Series 63	July. 11	1 - Day	F	9:00am-2:00pm
Series 63	August. 8	1 - Day	F	9:00am-2:00pm
Series 63	September. 10	1 - Day	W	9:00am-2:00pm
Series 63	October. 10	1 - Day	F	9:00am-2:00pm
Series 63	November. 7	1 - Day	TH	9:00am-2:00pm
Series 63	December. 5	1 - Day	F	9:00am-2:00pm
Series 63	July. 25	1 - Day	F	12:00pm-5:00pm
Series 63	August. 22	1 - Day	F	12:00pm-5:00pm
Series 63	September. 26	1 - Day	F	12:00pm-5:00pm
Series 63	October. 24	1 - Day	F	12:00pm-5:00pm
Series 63	November. 20	1 - Day	TH	12:00pm-5:00pm
Series 63	December. 18	1 - Day	TH	12:00pm-5:00pm
Series 65	July 14, 16, 21, 23	2 - Week	MW	1:00pm-4:30pm
Series 65	Aug. 11, 13, 18, 20	2 - Week	M/W	1:00pm-4:30pm
Series 65	Sept. 8, 10, 15, 17	2 - Week	M/W	1:00pm-4:30pm
Series 65	Oct. 14, 16, 21, 23	2 - Week	T/TH	1:00pm-4:30pm
Series 65	Nov. 10, 12, 17, 19	2 - Week	M/W	1:00pm-4:30pm
Series 65	Dec. 8, 10, 15, 17	2 - Week	M/W	1:00pm-4:30pm

### Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 66	July 22 & 23	2 - Days	W/TH	9:00am-5:00pm
Series 66	Aug. 20 & 21	2 - Days	W/TH	9:00am-5:00pm
Series 66	Sept. 23 & 24	2 - Days	T/W	9:00am-5:00pm
Series 66	Oct. 21 & 22	2 - Days	T/W	9:00am-5:00pm
Series 66	Nov. 12 & 13	2 - Days	W/TH	9:00am-5:00pm
Series 66	Dec. 16 & 17	2 - Days	W/TH	9:00am-5:00pm
Series 66	July 7, 8, 9, 10	4 - Days	M-TH	1:00pm-5:00pm
Series 66	Aug. 4, 5, 6, 7	4 - Days	M-TH	1:00pm-5:00pm
Series 66	Sept. 8, 9, 10, 11	4 - Days	M-TH	1:00pm-5:00pm
Series 66	Oct. 6, 7, 8, 9	4 - Days	M-TH	1:00pm-5:00pm
Series 66	Nov. 3, 4, 5, 6	4 - Days	M-TH	1:00pm-5:00pm
Series 66	Dec. 1, 2, 3, 4	4 - Days	M-TH	1:00pm-5:00pm
Series 79	July 14 & 15	2 - Days	M/T	9:30am-5:30pm
Series 79	Aug. 19 & 20	2 - Days	TW	9:30am-5:30pm
Series 79	Sept. 15 & 16	2 - Days	M/T	9:30am-5:30pm
Series 79	Oct. 21 & 22	2 - Days	T/W	9:30am-5:30pm
Series 79	Nov. 19 & 20	2 - Days	W/TH	9:30am-5:30pm
Series 79	Dec. 17 & 18	2 - Days	W/TH	9:30am-5:30pm

## Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 86	Aug. 18 & 20	2 - Days	M/T	4:30pm-7:30pm
Series 86	Oct. 6 & 8	2 - Days	M/W	4:30pm-7:30pm
Series 86	Dec. 15 & 17	2 - Days	T/TH	4:30pm-7:30pm
Series 87	July. 17	1 - Day	TH	4:30pm-8:30pm
Series 87	October. 15	1 - Day	TH	4:30pm-8:30pm
Series 87	December. 4	1 - Day	TH	4:30pm-8:30pm
Series 99	July. 16	1 - Day	W	9:30am-5:30pm
Series 99	Sept. 17	1 - Day	W	9:30am-5:30pm
Series 99	Nov. 13	1 - Day	TH	9:30am-5:30pm